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## BIB DATA SHEET

CONFIRMATION NO. 6575

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
10/655,409	09/04/2003 RULE	705	3696	

## APPLICANTS

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## \*\* CONTINUING DATA \*\*\*\*\*

This application is a CON of 09/465,607 12/17/1999  
 which is a CON of 09/184,571 11/02/1998 PAT 6,505,174  
 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

\*\* IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* \*\* SMALL ENTITY \*\*  
 11/28/2003

Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance cg Initials	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				77 86	6 4
Verified and Acknowledged	/CLEMENT B GRAHAM/ Examiner's Signature		CA	4		

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## TITLE

Computer-implemented securities trading system with a virtual specialist function

<b>FILING FEE RECEIVED</b> 1014	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
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CGRAHAM 1/19/09